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Jc614 U.S. PTO

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BOX PATENT APPLICATION

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Date: January 22, 1999

Attorney Docket No.: GRNFLD-001XX

Sir:

Transmitted herewith for filing is the **patent application** of:

**Inventor:** Hugh O. Davis

**Entitled:** SYSTEM AND METHOD FOR CONDUCTING FOCUS GROUPS USING REMOTELY LOCATED PARTICIPANTS OVER A COMPUTER NETWORK

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01/22/99  
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Enclosed are:

- ☒ Declaration and Power of Attorney (original signature)
  - ☒ An Assignment of the invention to: Greenfield Online, Inc.
  - ☐ A Certified copy of a \_\_\_\_\_ application
  - ☒ A Verified statement re small entity status (\$1.9 and \$1.27)- Small Business Concern
  - ☒ Citation of Art including 2 references
  - ☒ 7 sheets of informal drawings (one set)
  - ☐ Other: Information Disclosure Statement
  - ☐ Continuation-in-part application of application Serial No. \_\_\_\_\_, filed \_\_\_\_\_
  - ☐ \_\_\_\_\_ is hereby appointed Associate Attorney by: \_\_\_\_\_
- Registration No.:

Attorney of Record: Daniel J. Bourque  
Registration No.: 35,457

CLAIMS FILED:	MINUS BASE:	EXTRA CLAIMS:	RATE:	BASIC FEE:
				\$760.00
Independent 2	- 3	= 0	x \$78.00 =	0
Total 16	- 20	= 0	x \$18.00 =	0
<input type="checkbox"/> Multiple Dependent Claims (1st presentation)			+ \$260.00 =	0
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- ☒ The filing fee has been calculated above; check No.6026 in the amount of \$420.00 is enclosed.
- ☐ The filing fee will be submitted at a later date.
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SUBMIT IN TRIPLICATE

*Daniel J. Bourque* 1-22-99  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Hugh O. Davis  
Filed : Herewith  
For : SYSTEM AND METHOD FOR CONDUCTING FOCUS  
GROUPS USING REMOTELY LOCATED PARTICI-  
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Attorney's Docket : GRNFLD-001XX

Express Mail Mailing Number - EM057 645 357US  
Date of Deposit - January 22, 1999

I hereby certify that the following items are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and as addressed to BOX PATENT APPLICATION, Commissioner of Patents and Trademarks, Washington, D.C. 20231:

U.S. Patent application of Hugh O. Davis, entitled SYSTEM AND METHOD FOR CONDUCTING FOCUS GROUPS USING REMOTELY LOCATED PARTICIPANTS OVER A COMPUTER NETWORK, consisting of

Specification includes:

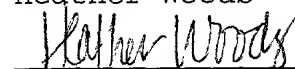
PP 1 through 18 of Detailed Description;  
PP 19 through 26 of claims 1 through 16; and  
PP 27 of Abstract

Drawings as follows (one copy informal): First sheet of Fig. 1; Second sheet of Fig. 2; Third sheet of Fig. 3; Fourth sheet of Fig. 4; Fifth sheet of Fig. 5; Sixth sheet of Fig. 6; Seventh sheet of Fig. 7 and 8.

A Declaration and Power of Attorney, together with a cover letter in triplicate; a Verified Statement claiming small entity status; and an Assignment of the invention and application for recording of Hugh O. Davis to Greenfield Online, Inc. comprising 2 pages with a cover letter in triplicate; an Information Disclosure Statement with form 1449 and 2 attachments; and our check number 6026 in the amount of \$420.00 to cover the filing fees of \$380.00 and an additional \$40.00 for the Assignment recording fee.

The above items are deposited with signatures and dated by the filing attorney as appropriate.

Heather Woods



Signature of Person Mailing

SYSTEM AND METHOD FOR CONDUCTING FOCUS GROUPS  
USING REMOTELY LOCATED PARTICIPANTS  
OVER A COMPUTER NETWORK

FIELD OF THE INVENTION

1       The present invention is related to conducting focus groups  
2 and more particularly, conducting focus groups using remotely  
3 located participants who are communicating over a computer  
4 network.

BACKGROUND OF THE INVENTION

5       In the world of marketing, focus groups are essential tools  
6 for acquiring feedback regarding new products. In particular,  
7 focus groups allow companies wishing to develop, package, name or  
8 test market a new product to discuss, view and/or test the new  
9 product before it is made available to the public at large and  
10 provide invaluable information regarding the product.

11       In traditional focus groups, a pre-screened (pre-qualified)  
12 group of respondents gathers in the same room. A moderator guides  
13 the group through a discussion that probes attitudes about a  
14 client's proposed products or services. Client representatives  
15 observe the discussion from behind a one-way mirror. Usually, a  
16 video camera records the meeting so that it can be seen by others  
17 who were not able to travel to the focus group site. Transcripts  
18 are also created from the video tape.

1 While such traditional focus groups provide accurate  
2 information, they are costly to implement. For example, if a  
3 product is to be marketed on a nation-wide basis, it would be  
4 critical to gather respondents from various locales throughout the  
5 country since attitudes about a new product may vary due to  
6 geographical considerations. As can be appreciated, this would  
7 require a significant expenditure in terms of travel and lodging  
8 expenses. Additionally, the site of a traditional focus group may  
9 or may not be in a locale convenient to a specific client.  
10 Accordingly, client representatives may have to incur travel and  
11 lodging expenses as well.

12 With the advent of large scale computer networks, such as the  
13 Internet, it is now much easier to link respondents electronically  
14 and thus avoid a significant amount of travel expenses. NFO  
15 Research, a market research giant, has recently announced a new  
16 form of focus group namely, an "on-line" focus group. The NFO  
17 system of on-line focus groups allows respondents from all over  
18 the country to gather, electronically, while avoiding countless  
19 logistical headaches.

20 Potential focus group respondents are invited by e-mail.  
21 Those who accept the invitation receive a URL and a password that  
22 admits them to a protected area within a website maintained by NFO  
23 Research. When they arrive, a trained moderator will conduct the  
24 on-line focus group over the Internet.

25 In NFO's on-line focus groups, when a question is asked of  
26 the group, all of the respondents type their responses

1 simultaneously. On-line focus groups may begin with a simple  
2 series of text-based questions or they may jump right in to a  
3 technical discussion. Using a variety of commercially-available  
4 software programs, sophisticated images can be displayed on the  
5 respondent's computer screens. These images can take the form of  
6 web pages, a photo slide show, storyboards of possible future  
7 advertising or even three-dimensional (3-D) graphics.

8 While the NFO system of on-line focus groups does eliminate  
9 some of the logistical headaches and travel expenses associated  
10 with conducting focus groups, the NFO system still requires one or  
11 more representation from a client to be physically located with  
12 the moderator conducting the focus group. In this way, questions  
13 can be added in real time to further probe a particular response.

14 Thus, even the NFO system requires some travel expenses since a  
15 client representative will need to travel to a NFO Research site  
16 or vice versa.

17 Accordingly, there is a need for the system and method of  
18 conducting focus groups using remotely located participants,  
19 including one or more moderators, one or more clients and one or  
20 more respondents, who are all physically remote from each other.  
21 In order to do this, such a system must allow for the  
22 implementation of at least two separate chat discussions to be  
23 conducted simultaneously between the three classes of focus group  
24 participants to provide an electronic analog to a one-way mirror  
25 segregating clients from respondents. In addition, such a system  
26 must allow and prohibit participation in the different chat

1 discussions based on the class of the participant.

## SUMMARY OF THE INVENTION

2 The disclosed invention satisfies this need by providing a  
3 system for conducting focus group discussions among participants  
4 including at least one moderator, at least one client and at least  
5 one respondent, wherein the participants are remotely located from  
6 one another yet interconnected or linked so as to exchange data of  
7 one or more formats. This system comprises a respondent computer  
8 interface for each respondent computer. The respondent interface  
9 includes a plurality of respondent chat messages provided by at  
10 least one moderator and all connected respondents. The respondent  
11 computer interface also prevents or blocks respondents from  
12 viewing and generating client chat messages and moderator chat  
13 messages. Each respondent computer also includes at least one  
14 input device, which allows the respondent to input respondent chat  
15 messages.

16 The system further includes a client computer interface for  
17 each client computer. The client interface displays the  
18 respondent chat messages and client chat messages. The client  
19 chat messages are provided by the moderator and connected  
20 client(s). The client computer interface also blocks the client  
21 from generating respondent chat messages. Like the respondent  
22 computer, each client computer also includes an input device to  
23 allow each client to input client chat messages.

24 Also included in the system is a moderator computer interface

1 for each moderator computer. The moderator interface displays  
2 both client chat messages and respondent chat messages, preferably  
3 in client chat and moderator chat display areas or "windows",  
4 respectively. Additionally, the moderator computer interface  
5 allows the moderator to both view and generate respondent and  
6 client chat messages. Each moderator computer further includes an  
7 input device to allow moderators to input both client chat  
8 messages and respondent chat messages.

9 The respondent computers, client computers and moderator  
10 computers are all interfaced to each other via a computer network  
11 such as the World Wide Web.

#### DESCRIPTION OF THE DRAWINGS

12 These and other features and advantages of the present  
13 invention will be better understood by reading the following  
14 detailed description, taken together with the drawings wherein:

15 Fig. 1 is a block diagram of the system of the present  
16 invention;

17 Fig. 2 is a flow diagram showing a method of selecting  
18 respondents to participant in an on-line focus group;

19 Fig. 3 is a screen display showing a respondent interface  
20 through which respondent chat messages are input, displayed and  
21 read;

22 Fig. 4 illustrates a client display through which client chat  
23 messages are input, displayed and read and where the respondent  
24 chat discussion is monitored;

Fig. 5 illustrates one embodiment of a moderator display through which client chat messages and respondent chat messages are input, displayed and read;

Fig. 6 is an alternative embodiment of a moderator display;

Fig. 7 is a block diagram of one embodiment of the present invention showing how simultaneous, multiple chat discussions are implemented using a chat message database; and

Fig. 8 shows a chat message database table which includes database fields useful in implementing the simultaneous chat discussions.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention features a system 300, FIG. 1, for conducting focus group discussions among participants with the various participants including at least one moderator, at least one client and at least one respondent. A respondent typically has access to a respondent computer 302 including a respondent computer interface 304, a respondent computer input device such as a keyboard 306A, a mouse 306B, a microphone 306C or a camera 306D. A respondent computer also includes a display device 308 such as a computer monitor capable of displaying one or more "windows" or display areas of information.

In the case of the respondent computer, the respondent computer interface 304 allows the respondent (not shown) to view a plurality of displayed respondent chat messages 310 which may include text 310A or graphic images 310B. As will be explained in



greater detail below, the respondent computer interface 304 prevents or blocks the respondent from viewing and/or generating client chat messages and moderator chat messages.

In a similar fashion, a client having access to a client computer 320 utilizes one or more input devices 322 such as a keyboard (mouse, microphone, camera, digitizing tablet, or the like) to enter client chat messages through the client interface 324. The client interface 324 controls display of client chat messages 326 to the client chat message display "window" or area 328 as well as controlling respondent chat messages 330 displayed to respondent chat "window" display "window" or area 332. As previously disclosed, either or both of the client or respondent chat messages may include text or graphical representations, including photographs and images.

Unlike the respondent interface, the client interface 324 allows for the display of both client and respondent chat message display areas. The client interface also allows the client (not shown) having access to the client computer 320 to input client chat messages 326 through an input device such as keyboard 322 while blocking the input of respondent chat messages 330. Accordingly, one or more clients having access to one or more client computers 320 can communicate among themselves and to a moderator but not to respondents.

Much like the client computer, the moderator computer 340 includes a display device 342 such as a computer monitor which, controlled by a moderator computer interface 344, can display at

1 least the first window or display area 346 containing client chat  
2 messages 348 (such as text or graphical information); a respondent  
3 chat message area or "window" 350 containing respondent chat  
4 message text or graphical information 352 as well as a moderator  
5 control area 354.

6 Like a client, a moderator may view both client chat messages  
7 and respondent chat messages. Unlike a client, however, a  
8 moderator may send both client chat messages and respondent chat  
9 messages using one or more methods including a proper selection of  
10 the control panel 354 to select other text entered by an input  
11 device 356 such as a keyboard, or be sent as a chat message,  
12 hyperlink or image destined for the client chat message "window"  
13 and/or the respondent chat message window. The moderator  
14 interface 344 then controls transmission of the chat messages to  
15 other participants of the focus group.

16 A feature of the present invention is the fact that all  
17 participants are located remotely from one another and are  
18 interconnected or linked by means of a network 360 that is a local  
19 area network (LAN), wide area network (WAN) the internet (World  
20 Wide Web) or other computer or interconnection network.

21 The present invention also preferably includes a system  
22 server 370, interconnected to the computer network 360 and other  
23 participants, including one or more databases such as a chat  
24 message database 372 as will be explained in greater detail below.

25 In order to conduct a focus group in an on-line environment,  
26 a plurality of respondents must be gathered. Fig. 2 shows a method

1 of obtaining and selecting potential respondents to participate in  
2 an on-line focus group according to the principles of the present  
3 invention. First, potential respondents access a website provided  
4 by the focus group provider and complete a respondent  
5 questionnaire, step 10. The information gathered from the  
6 potential respondents is then included in a respondent database,  
7 step 20, which is maintained by the focus group provider.

8 When a client wishes to conduct an on-line focus group, the  
9 client establishes respondent qualifications, which are directed  
10 at obtaining a suitable sample of potential respondents, step 30.  
11 The focus group provider then searches the information maintained  
12 in the respondent database and pre-qualifies those respondents who  
13 meet the client established respondent qualifications, step 40.

14 When a suitable number of pre-qualified potential respondents  
15 are identified, the pre-qualified potential respondents are  
16 invited to respond to a screening survey, step 45. This is  
17 accomplished by e-mailing the pre-qualified potential respondents  
18 a screening survey, which further qualifies the pre-qualified  
19 potential respondents based on exact screening specifications  
20 established by a client. The screening survey also validates the  
21 information provided by the pre-qualified potential respondents  
22 provided in step 10, above.

23 Successfully screened, pre-qualified potential respondents  
24 are invited to participate in an on-line focus group via an e-mail  
25 message, step 50. Those who do not pass the screening step are  
26 returned to the database for possible selection for participation

1 in future focus group sessions.

2 The e-mail message sent to each successfully screened,  
3 potential respondent includes the date and time for the particular  
4 focus group, as well as a chat room ID and a password, which will  
5 allow the potential respondents to access the designated focus  
6 group chat room and participate in the focus group. Then, at the  
7 designated date and time, respondents log into the designated chat  
8 room using the password they received via the e-mail message, step  
9 60. After sign in, each respondent may, if desired, be re-  
10 qualified to verify the respondent's pre-qualification attributes,  
11 step 70. As long as the re-qualification is acceptable, then the  
12 respondent may participate in the designated on-line focus group,  
13 step 80.

14 If, on the other hand, a potential respondent is not  
15 qualified to participate, the respondent will be denied entry or  
16 ejected from the focus group chat room. However, the information  
17 regarding all non-qualified potential respondents is maintained in  
18 the database for future focus groups, step 90.

19 Turning now to Fig. 3, a respondent interface 160 is shown.  
20 As indicated above, respondents who are invited to participate in  
21 an on-line focus group enter a designated chat room provided by  
22 the focus group provider. In the preferred embodiment, the chat  
23 rooms are implemented using the Internet (World-Wide-Web), and are  
24 accessed using any one of a number of commercially-available web  
25 browsers, such as Microsoft Internet Explorer and Netscape  
26 Navigator. The respondent interface 160 appears as a standard web

1 chat room and requires no special hardware or software other than  
2 a computer and World-Wide-Web browser suitable for accessing the  
3 focus group provider website.

4 When a respondent successfully logs into the designated chat  
5 room, a respondent display 162 is provided, wherein a plurality of  
6 respondent chat messages 168 are displayed in a series fashion  
7 known as a "chat discussion" or "chat thread". In order to post  
8 respondent chat messages, a respondent uses at least one  
9 respondent input device, such as a keyboard, mouse or microphone  
10 to type respondent chat messages. When chat messages are typed,  
11 they appear in the active respondent message window 164. Upon  
12 selection of the "send" button 166, the message input into the  
13 active respondent message window is transmitted to the on-line  
14 focus group provider and is subsequently displayed as a next  
15 message in the list of messages 168. The manner by which this is  
16 accomplished will be discussed in more detail below. Each  
17 respondent chat message includes the name of the respondent  
18 posting the message and the chat message itself.

19 In addition, as will be discussed in more detail below, a  
20 moderator, who leads the respondent chat discussion may post  
21 graphics for display in the message window 162 to solicit  
22 respondent responses. Of course, the principles of the present  
23 invention are equally applicable to audio and video files as well.

24 Turning now to Fig. 4, a client interface 180 is shown. The  
25 client interface 180 is divided into two display areas or  
26 "windows". The first window is a client chat message window 182.

1 The second window is a respondent message window 190.

2 The respondent message window 190 allows a client viewing the  
3 client interface 180 to view all of the respondent chat messages  
4 exchanged between the focus group moderator and the plurality of  
5 respondents. In other words, the respondent chat message window  
6 190 provided in the client interface 180 mirrors or mimics the  
7 respondent chat message window 162 of Fig. 3.

8 In the client chat message window 182, a list of client chat  
9 messages 184 is displayed. Client chat messages are displayed in  
10 a sequential fashion similar to the display of respondent chat  
11 messages in the respondent chat message window 190. Client chat  
12 messages are generated by clients and moderators but not by  
13 respondents, who may only generate respondent chat messages, as  
14 indicated above.

15 In order to enter a client chat message, the client inputs a  
16 client chat message using an input device, such as a keyboard,  
17 mouse or microphone. The client chat message then appears in the  
18 active client chat message window 186. Upon selection of the  
19 client chat message "send" button 188, the client chat message  
20 displayed in the active client chat message window 186 will be  
21 transmitted to the focus group provider and will be subsequently  
22 displayed in the client chat message discussion list 184 to all  
23 clients and moderators, but not respondents.

24 The client chat message window 182 and respondent chat  
25 message window 190 are divided by the divider 192, which provides  
26 the electronic analog of the one-way mirror utilized in

1 traditional focus groups. While a client may monitor the  
2 respondent chat message discussion, he or she may only participate  
3 in the client chat discussion. Clients are not permitted to  
4 participate in the respondent chat discussion and respondents are  
5 unaware of the client chat messages. Therefore, any client chat  
6 messages input will only be posted in the client chat message  
7 window 182.

8 However, as with traditional focus groups, by monitoring the  
9 chat messages provided by the respondents participating in an on-  
10 line focus group, a client may alter or modify the direction of  
11 the focus group or suggest additional questions and/or information  
12 to be provided to the respondent. This is accomplished by  
13 inputting a client chat message, which is directed to the focus  
14 group moderator.

15 Also provided on the client interface 180 are client log and  
16 respondent log selection icons 194 and 196, respectively, which  
17 allow a client to print out or download a log of all of the  
18 messages posted in the client chat message window as well as all  
19 of the messages posted in the respondent chat message window. At  
20 the end of the on-line focus group, the client would exit by  
21 selecting the exit icon 198.

22 Fig. 5 shows a first embodiment of a moderator interface 200.

23 As indicated above, an on-line focus group moderator guides a  
24 group of respondents through a focus group. At the same time, the  
25 moderator interacts with one or more clients. Thus, in a manner  
26 similar to the client interface, the moderator interface 200

1 includes a client chat message window 210 and a respondent chat  
2 message window 220. Thus, the moderator can monitor both the  
3 client chat message discussion 212 and the respondent chat message  
4 discussion 222.

5 However, unlike the respondents, who may only participate in  
6 the respondent chat discussion, and the clients, who may only  
7 participate in the client chat discussion, a moderator may  
8 participate in both discussions. Accordingly, the moderator  
9 interface 200 provides a means by which a moderator may post  
10 messages to either the client chat message window 210 or the  
11 respondent chat message window 220.

12 In the embodiment of Fig. 5, this is accomplished using a  
13 single moderator message window 230. The moderator message window  
14 230 allows the moderator to input messages using an input device,  
15 such as a keyboard, in an active message window 232. In addition,  
16 a moderator may select a hyperlink to send to either the client  
17 chat discussion or the respondent chat discussion using hyperlink  
18 window 234. Furthermore, images may be sent to either the client  
19 discussion window or the respondent discussion window using image  
20 selection window 236.

21 Each of the message, hyperlink and image selection windows  
22 includes a send icon 233, 235 and 237, respectively, which are  
23 selected to send the message, hyperlink or image to the desired  
24 chat message window. The client chat message window 210 will be  
25 selected by selecting the client chat message window radio button  
26 238, which is also provided in the moderator message window 230.



1 On the other hand, if a message, hyperlink or image is desired to  
2 be transmitted to the respondent chat message window 220, then the  
3 respondent radio button 240 is selected.

4 Like the client interface 180 (Fig. 4), the moderator  
5 interface 200 includes client and respondent log selection icons  
6 242 and 244. Also provided is a toggle icon 246. Selecting the  
7 toggle icon alternatively selects between the two available  
8 moderator displays, i.e. the displays shown in Figs. 5 and 6.  
9 Upon the completion of a focus group, a moderator exits the focus  
10 group by selecting the exit icon 248.

11 Fig. 6 shows a second embodiment of a client interface 200a.  
12 Like the first embodiment mentioned above, this embodiment of the  
13 moderator interface 200a includes a client chat message window 210  
14 and a respondent chat message window 220. The remaining features  
15 indicated in Fig. 5, which use common reference numbers to those  
16 features identified and described above with respect to Fig. 5,  
17 operate in a like manner and will not be described again here.  
18 However, this embodiment of the moderator interface 200a differs  
19 in that it provides two moderator message windows.

20 The first moderator message window 230c is a moderator  
21 message window wherein client chat messages may be entered. In a  
22 manner similar to that described above, messages, hyperlinks and  
23 images may be input using message hyperlink and image windows  
24 232c, 234c, and 236c, respectively. Although not shown in Fig. 6,  
25 "send" buttons for each of the above are provided and may be  
26 unobscured using scroll bar 239c.

1 The second moderator message window 230r mirrors the message,  
2 hyperlink and image windows and "send" buttons provided with  
3 respect to the first moderator message window 230c. However, the  
4 second moderator message window 230r is dedicated to the input of  
5 respondent chat messages. Respondent chat messages may include  
6 text messages input into respondent message window 232r,  
7 hyperlinks selected in hyperlink message window 234r or images,  
8 selected in image selection window 236r. Again, a scroll bar 239r  
9 is provided to unobscure additional buttons, such as the "send"  
10 buttons associated with messages, hyperlink and images,  
11 respectively.

12 In one preferred embodiment, the client chat message  
13 discussion and the respondent chat message discussion are  
14 implemented using a single database 100 (Fig. 7). In this manner,  
15 a plurality of respondents 110a through 110n may input respondent  
16 chat messages and transmit the same to database 100, where they  
17 will be stored. In a similar manner, a plurality of clients, 120a  
18 through 120n may input client chat messages, which are then  
19 transmitted to database 100. One or more moderator 130a through  
20 130n may input either respondent chat messages or client chat  
21 messages, which are also transmitted and stored in database 100.

22 Turning now to Fig. 8, a database table 140 is shown. The  
23 database table 140 includes a number of fields including a message  
24 ID field 142, a project number field 144, a user name field 146, a  
25 privileges field 148, and a message field 150. The message IDs  
26 are numbered sequentially as messages are transmitted to the

1 database 100 from respondents, moderators, and clients. Since  
2 each respondent, client and moderator is assigned to participate  
3 in a specific focus group, the messages must also be assigned to a  
4 particular discussion. This is accomplished by assigning a  
5 project number to each participant, which is maintained in the  
6 project number field 144.

7 In the example shown, message IDs 1, 2, and 3 are all related  
8 to the same project number, 123. However, as can be appreciated,  
9 a single database may maintain messages related to a plurality of  
10 different focus groups by using different project numbers  
11 associated with different message IDs.

12 Each message also includes the user name, which is maintained  
13 in user name field 146. Thus, whenever a message is posted to  
14 either the client or respondent chat message window, the name of  
15 the originator of the message is posted so that the various  
16 participants know who has provided the input.

17 The privileges field 148 is used to dictate which chat  
18 message windows a specific participant may access. For example,  
19 clients may only post messages to the client chat message window.  
20 In a similar manner, respondents may only post messages to the  
21 respondent chat message window. However, a moderator may post  
22 messages to both the client chat message window and the respondent  
23 chat message window. Therefore, each chat message originating  
24 from a moderator must include an entry in the privileges field to  
25 indicate where the particular message is to be directed. This is  
26 accomplished using the features provided in the moderator

1 interface, which are used to send messages.

2 Finally, the actual message input, which may be a text  
3 message, a hyperlink or an image file is stored in the message  
4 field 150. Using a database structure, multiple, simultaneous  
5 chat discussions may be provided, which are critical to the  
6 implementation of the on-line focus group when all of the  
7 participants including respondents, clients and moderators may be  
8 located remotely from each other.

9 Accordingly, the present invention provides a system and  
10 method for conducting focus groups where the participants,  
11 including at least one client, at least one moderator and at least  
12 one respondent, may participate in a focus group even if all of  
13 the respondents are remotely located from each other. Thus, the  
14 logistical headaches and expenses realized using traditional focus  
15 groups are eliminated.

16 Modifications and substitutions by one of ordinary skill in  
17 the art are considered to be within the scope of the present  
18 invention, which is not to be limited except by the claims which  
19 follow.

20 What is claimed is:

## CLAIMS

1           1. A system for conducting focus group discussions among  
2 participants including at least one moderator, at least one  
3 client and at least one respondent, wherein said participants are  
4 remotely located one from another and are interconnected with  
5 each other over a computer network allowing at least electronic  
6 data exchange among said participants, said system comprising:

7           a respondent computer interface for a respondent computer,  
8 said respondent computer interface for displaying, on a  
9 respondent display associated with each respondent computer, a  
10 plurality of respondent chat messages generated by said at least  
11 one moderator and said at least one respondent, said respondent  
12 computer interface for not displaying client chat messages and  
13 moderator chat messages and for also allowing each said  
14 respondent to input respondent chat messages through said  
15 respondent computer interface using at least one respondent  
16 computer input device associated with each said respondent  
17 computer;

18           a client computer interface for a client computer, said  
19 client interface for displaying, on a client display associated  
20 with each client computer, said plurality of respondent chat  
21 messages generated by said at least one moderator and said at  
22 least one respondent, a plurality of client chat messages  
23 generated by said at least one client and said at least one  
24 moderator, said client computer interface for also allowing said

25 at least one client to input client chat messages through said  
26 client computer interface using at least one client input device  
27 associated with each said client computer and for preventing said  
28 at least one client from entering respondent chat messages; and  
29 a moderator computer interface for a moderator computer,  
30 said moderator computer interface for displaying, on a client  
31 display associated with each moderator computer, said plurality  
32 of respondent chat messages and said plurality of client chat  
33 messages, said moderator computer interface for also allowing  
34 said at least one moderator to input respondent chat messages to  
35 be displayed to said at least one respondent and said at least  
36 one client, and client chat messages to be displayed to said at  
37 least one client through said moderator computer interface using  
38 at least one moderator input device associated with each said  
39 moderator computer.

1 2. The system for conducting focus group discussions as  
2 claimed in Claim 1, wherein said computer network comprises the  
3 Internet World-Wide-Web.

1 3. The system for conducting focus group discussions as  
2 claimed in Claim 1, wherein at least one of said respondent or  
3 client chat messages include audio files.

1 4. The system for conducting focus group discussions as  
2 claimed in Claim 1, wherein at least one of said respondent or

3 client chat messages include graphical images.

1 5. The system for conducting focus group discussions as  
2 claimed in Claim 1, wherein at least one of said respondent or  
3 client chat messages include video data provided by at least one  
4 of said client, respondent and moderator.

1 6. The system for conducting focus group discussions as  
2 claimed in Claim 1, wherein said client computer interface  
3 provides at least two display areas arranged on said client  
4 computer display, a first of said two display areas for  
5 displaying said client chat messages and a second of said two  
6 display areas for displaying said respondent chat messages.

1 7. The system for conducting focus group discussions as  
2 claimed in Claim 1, wherein said moderator computer interface  
3 provides at least two display areas arranged on said moderator  
4 computer display, a first of said two display areas for  
5 displaying said client chat messages and a second of said two  
6 display areas for displaying said respondent chat messages.

1 8. The system for conducting focus groups as claimed in  
2 Claim 7, wherein said moderator computer interface provides a  
3 chat message destination selector, for allowing said moderator to  
4 select between providing input as a client chat message and a  
5 respondent chat message.

1           9.   The system for conducting focus group discussions as  
2   claimed in Claim 1 further comprising a chat message database,  
3   for storing said respondent and client chat messages exchanged  
4   during a focus group discussion.



1           10. A method for conducting focus group discussions among  
2 participants including at least one moderator, at least one  
3 client and at least one respondent, wherein said participants are  
4 remotely located, one from another, each said at least one  
5 respondent having a respondent computer including a respondent  
6 computer interface, responsive to respondent chat messages, for  
7 displaying, on a respondent computer display device said  
8 respondent chat messages, each said at least one client having a  
9 client computer including a client computer interface, responsive  
10 to said respondent chat messages and client chat messages, for  
11 displaying, on a client computer display device said respondent  
12 chat messages in a respondent chat display area on said client  
13 computer display device, and for displaying said client chat  
14 messages in a client chat display area on said client computer  
15 display device, and each moderator having a moderator computer  
16 including a moderator interface, responsive to said respondent  
17 and client chat messages, for displaying, on a moderator computer  
18 display device said client chat messages in a client chat display  
19 area and for displaying said respondent chat messages in a  
20 respondent chat display area on said moderator computer display  
21 device, said at least one respondent computer, said at least one  
22 client computer and said at least one moderator computer  
23 interconnected with each other over a computer network, said  
24 method comprising the steps of:

25           accepting and transmitting respondent chat messages provided  
26 by said at least one moderator from at least one moderator

27 computer input device;

28 accepting and transmitting respondent chat messages provided  
29 by said at least one respondent from at least one respondent  
30 computer input device in response to said respondent chat  
31 messages transmitted from said at least one moderator;

32 displaying said respondent chat messages on said respondent  
33 computer display device and blocking display of client and  
34 moderator chat messages by said respondent computer interface;

35 displaying said respondent chat messages in said respondent  
36 chat display area on said moderator and client computer display  
37 devices by said moderator and client computer interfaces  
38 respectively;

39 accepting client chat messages provided by said at least one  
40 client from at least one client computer input device and  
41 provided by said at least one moderator from at least one  
42 moderator computer input device;

43 displaying said client chat messages in said client chat  
44 display area on said on said moderator and client computer  
45 display devices by said moderator and client computer interfaces;  
46 and

47 prohibiting, by said client computer interface, said  
48 respondent chat messages from being generated by said at least  
49 one client.

1 11. The method for conducting focus group discussions as  
2 claimed in Claim 10, wherein said respondent, client and

3 moderator computers are interconnected over a computer network  
4 comprising the Internet World-Wide-Web.

1 12. The method for conducting focus group discussions as  
2 claimed in Claim 10, wherein said steps of accepting chat  
3 messages comprise accepting text messages from said at least one  
4 client, respondent and moderator .

1 13. The method for conducting focus group discussions as  
2 claimed in Claim 10, wherein said steps of accepting chat  
3 messages provided by said at least one client, respondent and  
4 moderator comprises accepting graphical images from said at least  
5 one client, respondent and moderator.

1 14. The method for conducting focus group discussions as  
2 claimed in Claim 10, wherein said steps of accepting chat  
3 messages provided by said at least one client, respondent and  
4 moderator comprises accepting audio files from said at least one  
5 client, respondent and moderator.

1 15. The method for conducting focus group discussions as  
2 claimed in Claim 10, wherein said steps of accepting chat  
3 messages provided by said at least one client, respondent and  
4 moderator comprises accepting video data provided by said at  
5 least one client, respondent and moderator.

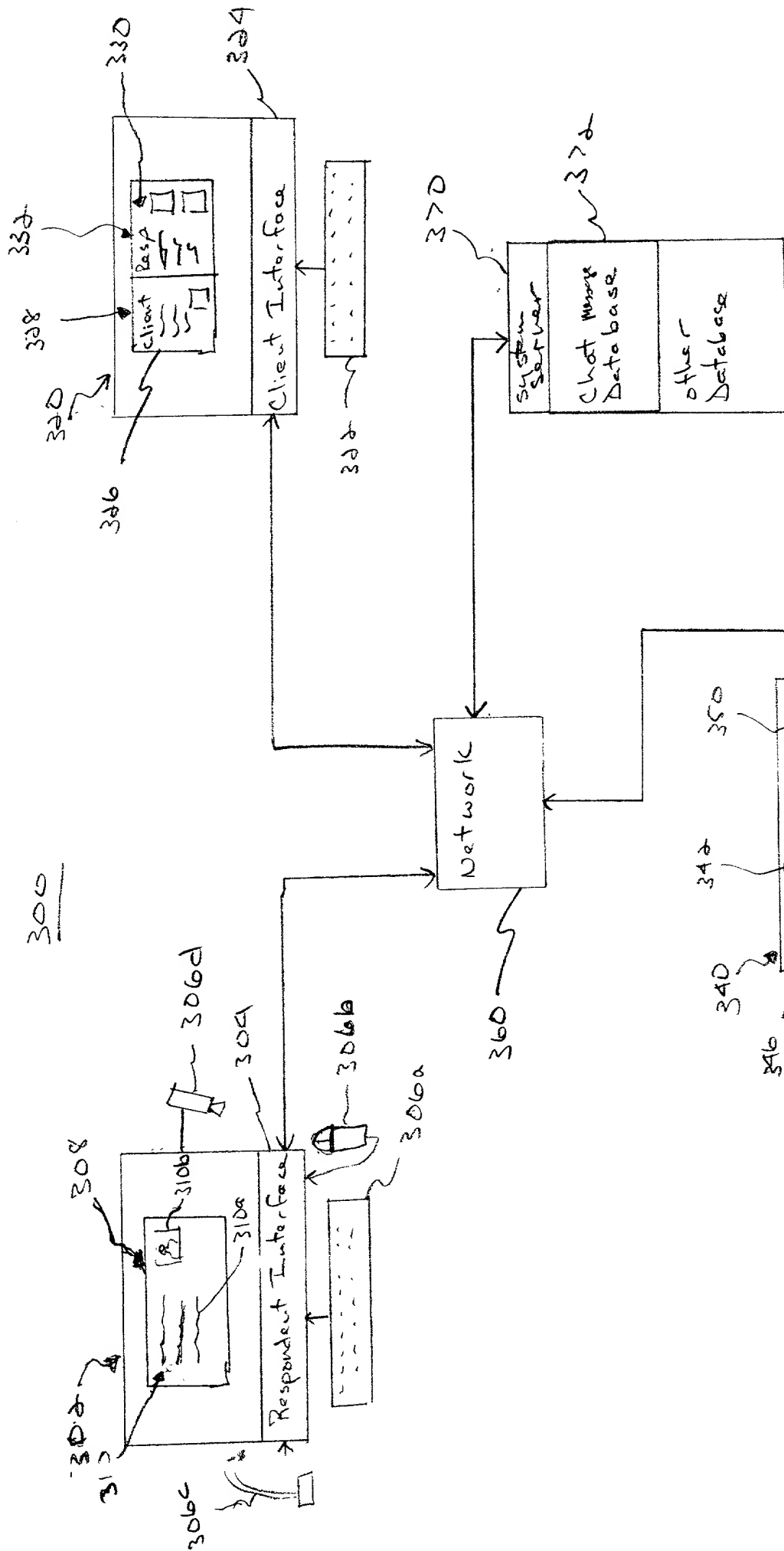
1           16.   The method for conducting focus group discussions as  
2   claimed in Claim 10 further comprising the step of storing said  
3   chat messages in a database.

16. The method for conducting focus group discussions as claimed in Claim 10 further comprising the step of storing said chat messages in a database.

## ABSTRACT

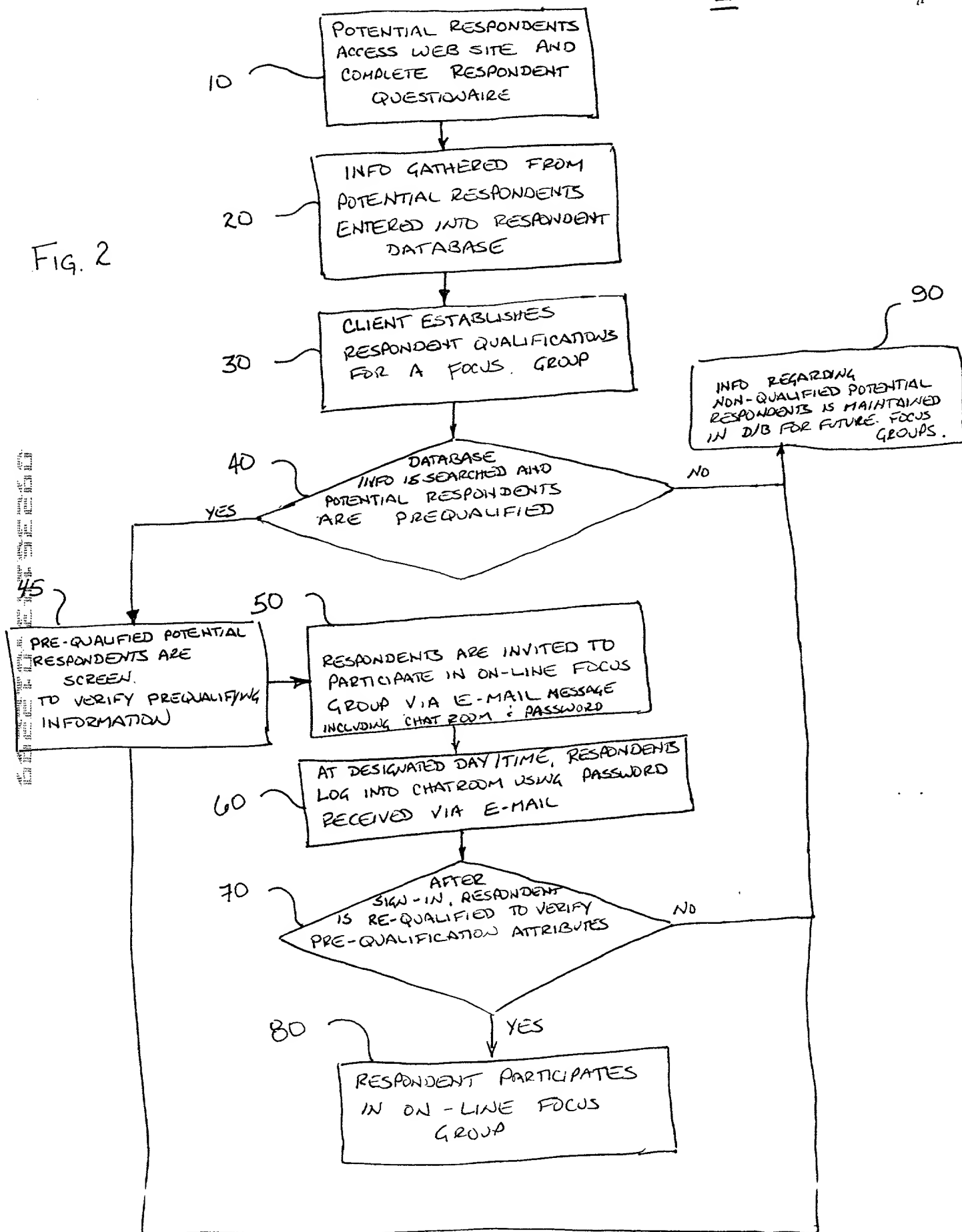
A system and method for conducting focus group discussions among remotely located participants is provided. The system includes a respondent computer interface for each respondent computer which controls display of a plurality of respondent chat messages provided by at least one moderator and the respondents and which blocks display of client and moderator chat messages generated by the clients and the moderator respectively, and at least one input device, which allows the respondent to input respondent chat messages. The system also includes a client computer interface for each client computer, which controls display of all of the respondent chat messages and a plurality of client chat messages which are provided by the moderator and the client(s). Each client computer also includes at least one input device to allow each client to input client chat messages. Also included in the system is a moderator computer for each moderator. Each moderator computer includes a moderator interface, which displays both the client chat messages and the respondent chat messages, preferably in client chat and moderator chat windows, respectively. Each moderator computer further includes an input device to allow moderators to input both client chat messages and respondent chat messages. The respondent computers, client computers and moderator computers are all interfaced to each other via a computer network.

30



4

Fig. 2







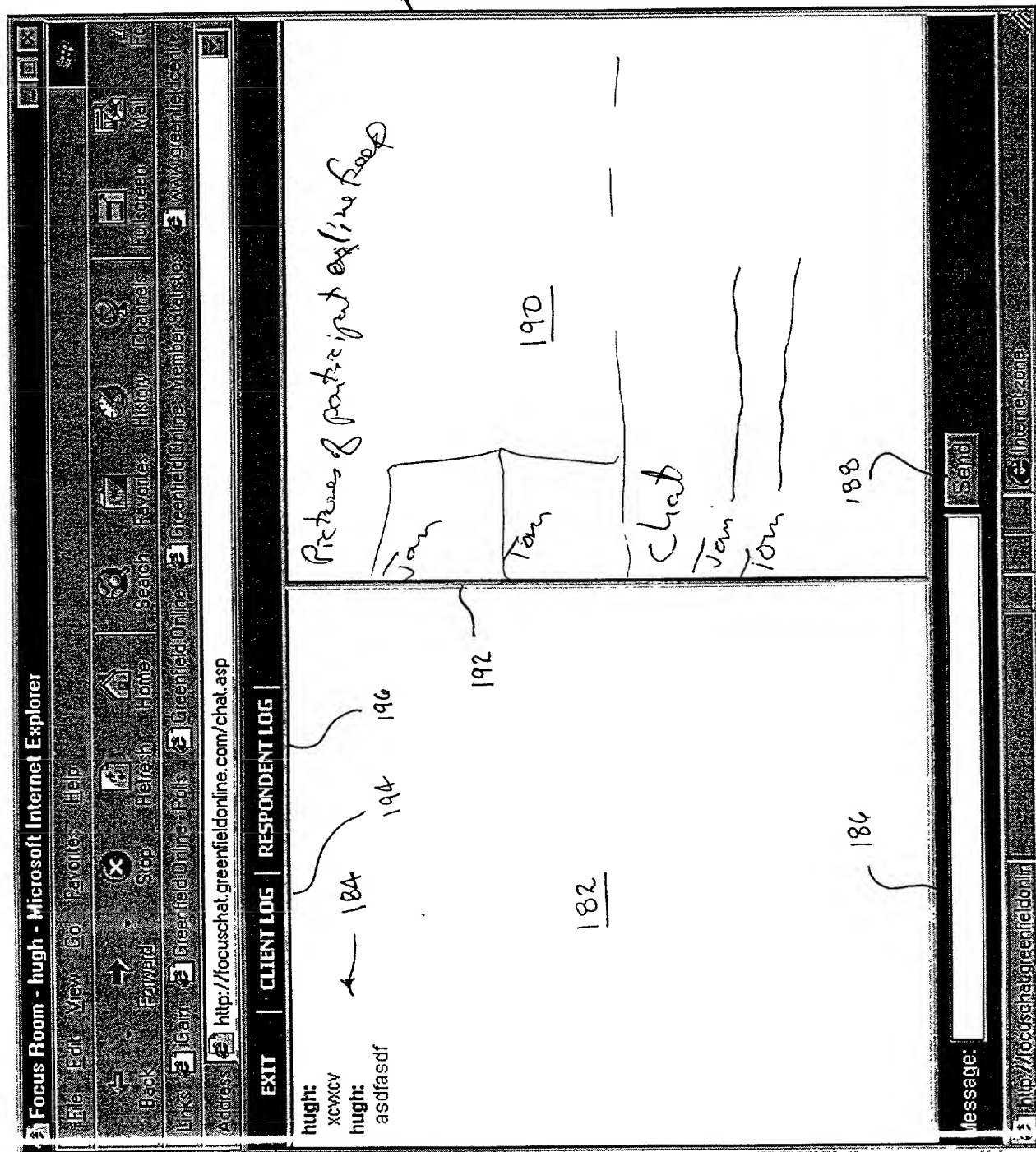
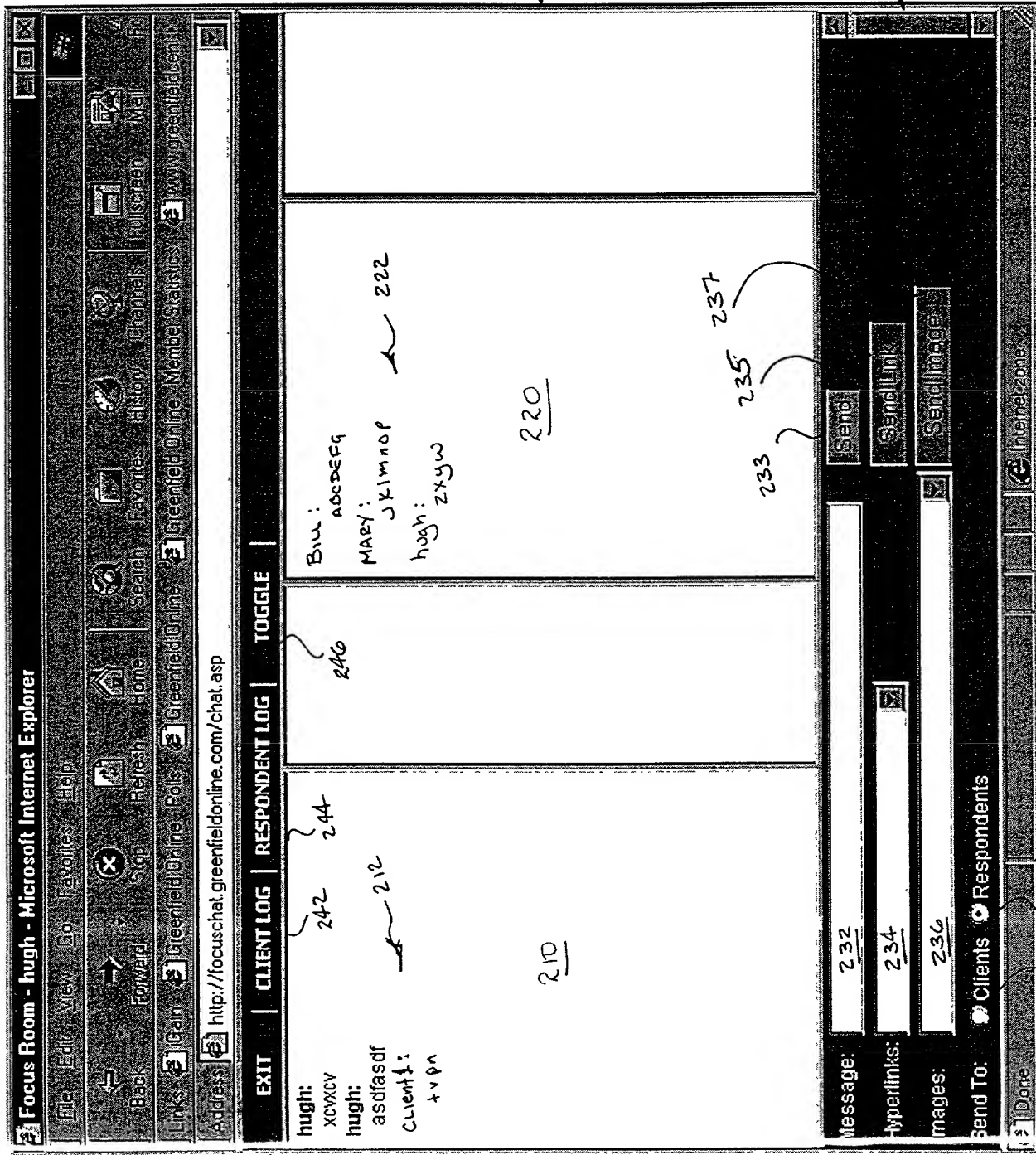


Fig. 4



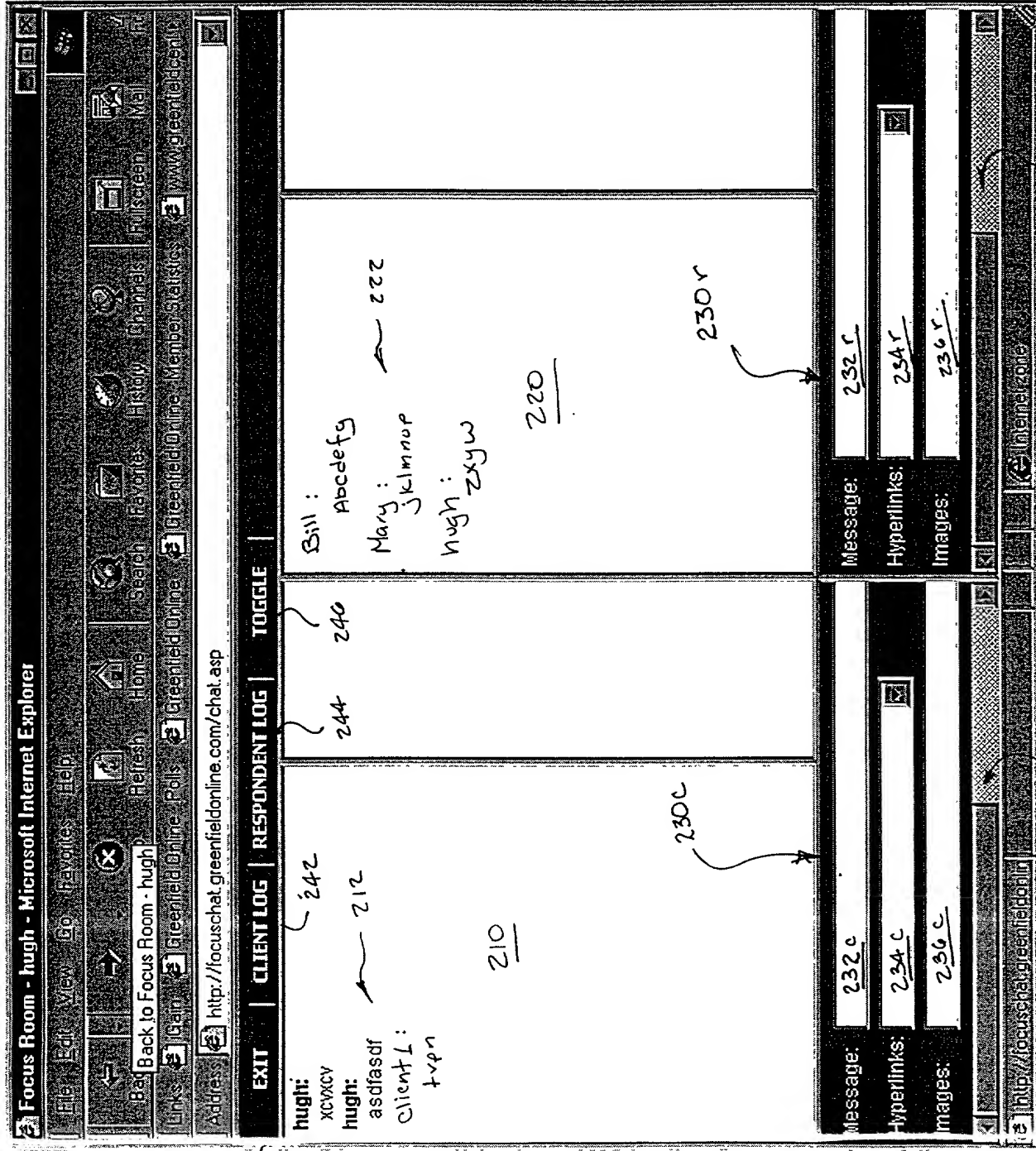
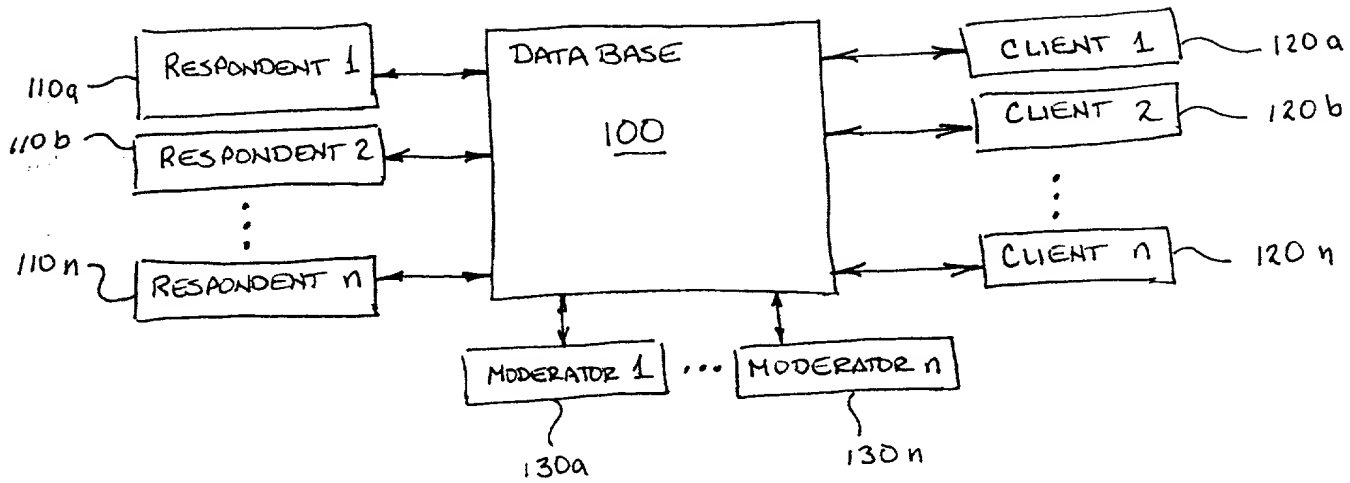


Fig. 6

44

[illegible]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** Hugh O. Davis

**ATTORNEY**

**DOCKET NO.:** GRNFLD-001XX

**SERIAL NO.:**

**EXAMINER:**

**FILED:** Herewith

**GROUP NO.:**

**PATENT NO.:**

**ISSUED:**

**ENTITLED:** SYSTEM AND METHOD FOR CONDUCTING FOCUS GROUPS USING REMOTELY LOCATED PARTICIPANTS OVER A COMPUTER NETWORK

---

**VERIFIED STATEMENT AS SMALL ENTITY**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

**THE UNDERSIGNED DECLARE(S) :**

Exclusive rights in the above-identified invention reside in the "small entity(ies)" defined and named below, and "small entity" fees are appropriate. Qualification as a small entity is based upon the appropriately checked statements below:

☐ **INDEPENDENT INVENTOR(S)**

The below-signing independent inventor(s) has (have) not assigned, granted, conveyed or licensed, and is (are) under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not likewise be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Attorney

Docket No.: GRNFLD-001XX

**[x] SMALL BUSINESS CONCERN**

The below-identified small business concern qualifies as a small business as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, in that the number of employees, including those of its affiliates, which does not exceed 500 persons, and it has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Concerns are affiliates of each other when, either directly or indirectly, one concern controls or has the power to control the other, or a third party controls or has the power to control both. The number of employees of the business concern is the average over the fiscal year of the persons employed during each of the pay periods of the fiscal year. Employees are those persons employed on a full-time, part-time or temporary basis during the previous fiscal year of the concern.

**[ ] NONPROFIT ORGANIZATION (Check additional applicable box.)**

The below-identified nonprofit organization qualifies as a small entity under 37 CFR 1.9(e) in that it constitutes:

- ☐ a university or other institution of higher education located in any country; or
- ☐ an organization of the type described in Section 501(c)(3) of the Internal Revenue Code of 1954 (26 USC 501(c)(3)) and exempt from taxation under Section 501(a) of the Internal Revenue Code (26 USC 501(a)); or
- 3. ☐ any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of the United States (35 USC 201(i)); or
- 4. ☐ any nonprofit organization located in a foreign country which would qualify as a nonprofit organization under paragraphs (e)(2) or (3) of Rule 1.9 if it were located in the United States.

The undersigned acknowledge(s) the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

Attorney

Docket No.: GRNFLD-001XX

The below-signing individual(s) hereby declare(s) that (he, she, they) are authorized to execute this statement on behalf of the small entity; that all statements made herein of (his, her, their) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Small Entity: (Independent Inventor/Small Business/Nonprofit)

Greenfield Online, Inc.

Address of Small Entity: (Street, City, State or Country, Zip Code)

274 Riverside Avenue  
Westport CT 06880-4807

Name of Person Signing: (Small Business/Nonprofit)


Andrew Greenfield

Title of Person Signing: (Small Business/Nonprofit)

~~President~~ Chairman

Signature: (Please sign and date in permanent ink.)

Date signed:

X  X 1/20/99

DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM AND METHOD FOR CONDUCTING FOCUS GROUPS USING REMOTELY LOCATED PARTICIPANTS OVER A COMPUTER NETWORK

the specification of which (check one):

☒ is attached hereto.                      ☐ was filed \_\_\_\_\_ as Serial No. \_\_\_\_\_; amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim foreign priority benefits under Title 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>	<u>Date Filed</u>	<u>Priority Claimed</u>
[ ] [ ] (Number) (Country)	(Day/Month/Year)	Yes No
[ ] [ ] (Number) (Country)	(Day/Month/Year)	Yes No

I hereby claim the benefit under Title 35 USC 120 of any United States application(s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 USC 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Patented/pending/abandoned)
(Application Serial No.)	(Filing Date)	(Patented/pending/abandoned)



I hereby claim the benefit under Title 35 USC 119(e) of any United States provisional application(s) listed below:

(Application Serial No.) (Filing Date) (Patented/pending/abandoned)


**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business connected therewith in the Patent and Trademark Office, and to file with the USRO any International Application based thereon.

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Facsimile: (603) 624-1432

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor:		
Hugh O. Davis		
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Signature: (Please sign and date in permanent ink.)		Date signed:
X 		X 1/20/98